

LEMMA 5. If $\phi_\alpha(t) \rightarrow s$ then, for any $\beta > \alpha$, $\phi_\beta(t) \rightarrow s$.

It is sufficient to assume that $s = 0$. By (3), since $F(t)$ is increasing, $\frac{1}{\beta} \phi_\beta(t) \leq \frac{1}{\alpha} \phi_\alpha(t)$ and the required result follows immediately.

LEMMA 6. Let $\gamma(t)$ be an increasing function. If $g(t) = \int_0^t \gamma(u) du \sim st^\alpha$ as $t \rightarrow 0$, then $\gamma(t) \sim sat^{\alpha-1}$.

The proof is similar to that of a result due to Hardy and Littlewood*, to which the lemma reduces when $\gamma(t)$ is a derivative.

Let $0 < \theta < 1$ be a fixed number; then

$$(1-\theta)t\gamma(\theta t) < g(t) - g(\theta t) < (1-\theta)t\gamma(t).$$

Since $g(t) - g(\theta t) \sim s(1-\theta^\alpha)t^\alpha$, we have

$$\underline{\lim} t^{1-\alpha}\gamma(t) \geq s \frac{1-\theta^\alpha}{1-\theta},$$

$$\overline{\lim} (\theta t)^{1-\alpha}\gamma(\theta t) \leq \frac{s(1-\theta^\alpha)}{(1-\theta)\theta^{\alpha-1}}, \quad \text{i.e.} \quad \overline{\lim} t^{1-\alpha}\gamma(t) \leq \frac{s(1-\theta^\alpha)}{(1-\theta)\theta^{\alpha-1}},$$

and the required result follows on allowing θ to tend to 1.

To complete the proof of (ii) we employ the Littlewood technique of repeated differentiation. Let

$$F(t) = F_1(t), \quad F_k(t) = \int_0^t F_{k-1}(u) du, \quad k = 2, 3, \dots$$

By Lemma 5, if $\phi_\alpha(t) \rightarrow s$ then $\phi_k(t) \rightarrow s$ for an integer k , and this is equivalent to $F_k(t) \sim st^k/k!$ By repeated application of Lemma 6 we obtain $F(t) \sim st$, and the desired result $u(r) \rightarrow s$ follows by Lemma 4.

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ON A PROBLEM OF ADDITIVE NUMBER THEORY†

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Let a_1, a_2, \dots be an infinite sequence of integers, such that

$$0 \leq a_1 \leq a_2 \leq \dots$$

* See, e.g. G. H. Hardy, *loc. cit.* 170.

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Denote by $f(n)$ the number of solutions of $a_i + a_j = n$, by $r(n)$ the number of solutions of $a_i + a_j \leq n$; thus $r(n) = f(0) + f(1) + \dots + f(n)$. In a previous paper [2] Erdős-Turán conjectured that

$$r(n) - cn = O(1)$$

cannot hold. In the present paper we prove

THEOREM 1. *If $c > 0$, then*

$$r(n) = cn + o(n^{1/4} \log^{-1/2} n), \quad (1)$$

cannot hold.

Remarks. (i) The assumption $c \neq 0$ is clearly necessary, for if $c = 0$ were permitted, (1) could clearly hold, if $a_k \rightarrow \infty$ sufficiently fast.

(ii) The leading term cn on the right-hand side of (1) could be replaced by more general functions such as, e.g., $cn + dn^\alpha$ ($0 < \alpha < 1$).

If $a_k = k^2$ the estimation of $r(n)$ is the classical problem about lattice points in a circle. Here it follows from the results of Hardy and Landau that $r(n) \neq cn + dn^{1/2} + o(n^{1/4} \log^{1/4} n)$. It is rather surprising that our result for a general a_k is almost as good while its proof is much simpler.

We can also prove

$$\sup_{1 \leq l \leq n} |r(l) - cl| > \frac{K_\beta n^{1/4}}{\log^\beta n} \quad (\beta > \frac{7}{4}).$$

Theorem 1 remains true for sequences of non-negative numbers $\{a_k\}$, not necessarily integers. The proof can be reduced to that of Theorem 1 by defining a_k^* as the nearest integer to a_k . Then the inequalities

$$r(n-2) \leq r^*(n) \leq r(n+2)$$

show that Theorem 1 is true for $r(n)$, if it is true for $r^*(n)$.

We use the letter K as a generic notation for a positive number possibly depending on the sequence $\{a_k\}$, but on nothing else. The numerical value of K will differ at different occurrences. It seems likely that one can construct an infinite sequence $a_1 < a_2 < \dots$ for which

$$r(n) = cn + O(n^{1/4}).$$

This we have not succeeded in doing.

Another conjecture of Erdős-Turán was that if $f(n) > 0$ for all large n , then $\overline{\lim} f(n) = \infty$, and an even stronger conjecture would be that if $a_k < ck^2$ for all k , then $\overline{\lim} f(n) = \infty$. Here our method does not seem to

be of any use, since one can construct a sequence $\{a_k\}$ such that $a_k < ck^2$ and

$$\overline{\lim} \frac{1}{n} \left(\sum_{k=1}^n f^2(k) \right) < \infty.$$

The number $f(b)$ can be interpreted in three different ways: (I) We can count $i \neq j$ twice and $i = j$ once; (II) $i \neq j$ can be counted once and $i = j$ also once; (III) $i \neq j$ can be counted once and $i = j$ excluded altogether. If we put $g(z) = \sum_{k=1}^{\infty} z^{a_k}$, then the generating functions $\Sigma f(n)z^n$ in the three cases are

$$(I) g^2(z); \quad (II) \frac{1}{2}(g^2(z) + g(z^2)); \quad (III) \frac{1}{2}(g^2(z) - g(z^2)).$$

Our theorem is true for every one of the three interpretations.

In cases (I) and (II) Dirac and Newman [1] proved that $f(n)$ cannot be a constant for $n > n_0$. We can prove in all three cases

THEOREM 2. *If $c > 0$, or $c = 0$ and $a_k < Ak^2$, then*

$$\overline{\lim}_{n \rightarrow \infty} \frac{1}{n} \sum_{k=0}^n (f(k) - c)^2 > 0.$$

We shall need the following:

LEMMA. *Suppose $\phi(z) = \sum_{n=0}^{\infty} b_n z^n$ is convergent for $|z| < 1$ and suppose that all b_n are non-negative, real numbers. Then for $0 < \alpha \leq \pi$, $z = re^{i\theta}$ ($0 < r < 1$),*

$$\frac{1}{2\alpha} \int_{-\alpha}^{\alpha} |\phi(z)|^2 d\theta \geq \frac{1}{6\pi} \int_{-\pi}^{\pi} |\phi(z)|^2 d\theta.$$

Proof. The function

$$h(\theta) = 1 - |\theta/\alpha| \quad (|\theta| < |\alpha|), \quad h(\theta) = 0 \quad (\alpha \leq |\theta| \leq \pi)$$

has the Fourier series

$$h(\theta) = \sum_{k=-\infty}^{\infty} c_k e^{ik\theta}, \quad c_k = (1 - \cos \alpha k) / \pi \alpha k^2 \geq 0.$$

By Parseval's formula

$$\begin{aligned} \int_{-\pi}^{\pi} |h(\theta) \phi(z)|^2 d\theta &= 2\pi \sum_{r=-\infty}^{\infty} \left(\sum_{k+l=r} c_k b_l r^l \right)^2 \\ &\geq 2\pi \sum_{r=-\infty}^{\infty} \sum_{k+l=r} c_k^2 b_l^2 r^{2l}, \end{aligned}$$

since all the terms in the first inner sum are non-negative. The sum on the right-hand side is now

$$\begin{aligned} 2\pi(\sum c_k^2)(\sum b_l^2 r^{2l}) &= \frac{1}{2\pi} \int_{-\pi}^{\pi} h^2(\theta) d\theta \int_{-\pi}^{\pi} |\phi(z)|^2 d\theta \\ &= \frac{\alpha}{3\pi} \int_{-\pi}^{\pi} |\phi(z)|^2 d\theta. \end{aligned}$$

But when $|\theta| < |\alpha|$, $|h(\theta)| \leq 1$ and when $|\alpha| \leq |\theta| \leq \pi$, $h(\theta) = 0$. Hence

$$\int_{-\alpha}^{\alpha} |\phi|^2 d\theta \geq \int_{-\pi}^{\pi} |h(\theta)\phi(z)|^2 d\theta \geq \frac{\alpha}{3\pi} \int_{-\pi}^{\pi} |\phi(z)|^2 d\theta.$$

Proof of Theorem 1. Let

$$g(z) = \sum_{k=1}^{\infty} z^{a_k} = \sum c_v z^{a_v},$$

where the summation in the last sum is over all *different* a_v and c_v is an integer ≥ 1 . We give the proof for the case where the generating function is $g^2(z)$. Then $(1-z)^{-1}g^2(z) = \sum_{n=0}^{\infty} r(n)z^n$.

We must therefore prove that we cannot have

$$\begin{aligned} (1-z)^{-1}g^2(z) &= c \sum_{n=0}^{\infty} n z^n + h(z) \\ &= cz(1-z)^{-2} + h(z); \end{aligned} \quad (2)$$

$$h(z) = \sum_{n=0}^{\infty} v_n z^n, \quad v_n = o(n^{1/4} \log^{-1/2} n).$$

Let $\frac{1}{2} < r < 1$, $z = re^{i\theta}$, $1-r < \alpha < \pi$. By (2)

$$\begin{aligned} \int_{-\alpha}^{\alpha} |g^2(z)| d\theta &= \int_{-\alpha}^{\alpha} |cz(1-z)^{-1} + (1-z)h(z)| d\theta \\ &\leq c \int_{-\pi}^{\pi} |1-z|^{-1} d\theta + \int_{-\alpha}^{\alpha} |1-z| |h(z)| d\theta. \end{aligned} \quad (3)$$

Now $(1-z)^{-1/2} = \sum \gamma_n z^n$, $\gamma_n = O(n^{-1/2})$, so that

$$\begin{aligned} \int_{-\pi}^{\pi} |1-z|^{-1} d\theta &= \int_{-\pi}^{\pi} |(1-z)^{-1/2}|^2 d\theta = 2\pi \sum |\gamma_n|^2 r^{2n} < K \sum r^{2n}/n \\ &< K \log \frac{1}{1-r}. \end{aligned} \quad (4)$$

If $|\theta| \leq \alpha$, $|1-z| < K\alpha$. Therefore

$$\begin{aligned} \int_{-\alpha}^{\alpha} |1-z| |h(z)| d\theta &< K\alpha \int_{-\alpha}^{\alpha} |h(z)| d\theta \\ &< K\alpha \left(\int_{-\alpha}^{\alpha} d\theta \right)^{1/2} \left(\int_{-\alpha}^{\alpha} |h(z)|^2 d\theta \right)^{1/2} \\ &\leq K\alpha^{3/2} \left(\sum_{n=0}^{\infty} |v_n|^2 r^{2n} \right)^{1/2}, \end{aligned}$$

and

$$\sum_{n=0}^{\infty} |v_n|^2 r^{2n} \leq K \sum_{n < (1-r)^{-1/2}} n^{1/2} r^{2n} + \eta(r) \log^{-1} \frac{1}{1-r} \sum_{n > (1-r)^{-1/2}} n^{1/2} r^{2n},$$

where $\eta(r) < \epsilon$ for $1 > r > r_0(\epsilon)$, since $|v_n| < \epsilon n^{1/4} \log^{-1/2} n$ for large n . The first sum has $[(1-r)^{-1/2}]$ terms each one less than $(1-r)^{-1/4}$. For the second sum comparison with the binomial expansion shows that

$$\sum_{n=1}^{\infty} n^{1/2} r^{2n} < K(1-r^2)^{-3/2} < K(1-r)^{-3/2}.$$

Hence

$$\begin{aligned} \sum_{n=1}^{\infty} |v_n|^2 r^{2n} &< K(1-r)^{-3/4} + K\eta(r)(1-r)^{-3/2} \log^{-1} \frac{1}{1-r} \\ &< K\delta^2(1-r)^{-3/2} \log^{-1} \frac{1}{1-r} \quad (r > r_1(\delta), \delta > 0). \end{aligned}$$

Therefore, for all r sufficiently close to one,

$$\int_{-\alpha}^{\alpha} |1-z| |h(z)| d\theta \leq K\alpha^{3/2} \delta (1-r)^{-3/4} \log^{-1/2} \frac{1}{1-r}. \quad (5)$$

Collecting (3), (4), (5) gives

$$\int_{-\alpha}^{\alpha} |g^2(z)| d\theta < K_1 \log \frac{1}{1-r} + K_2 \alpha^{3/2} \delta (1-r)^{-3/4} \log^{-1/2} \frac{1}{1-r}. \quad (A)$$

By the lemma with $\phi(z) = g(z) = \sum c_r r^{2r}$,

$$\begin{aligned} \int_{-\alpha}^{\alpha} |g^2(z)| d\theta &> K\alpha \int_{-\alpha}^{\alpha} |g^2(z)| d\theta = K\alpha \sum c_r^2 r^{2\alpha} \\ &\geq K\alpha \sum c_r r^{2\alpha} = K\alpha g(r^2). \end{aligned}$$

By (2)

$$\begin{aligned} g^2(r^2) &= cr^2(1-r^2)^{-1} + (1-r^2)h(r^2) \\ &= cr^2(1-r^2)^{-1} + (1-r^2)O(\sum n^{-1/4} r^{2n}) \\ &> K(1-r)^{-1} - O((1-r) \cdot (1-r)^{-6/4}) \\ &> K(1-r)^{-1}. \end{aligned}$$

Hence
$$\int_{-\alpha}^{\alpha} |g^2(z)| d\theta > K_3 \alpha (1-r)^{-1/2}. \quad (B)$$

Now choose δ so that $K_3 \delta^{-2/3} > K_1 + K_2$ and put $\alpha = \delta^{-2/3} (1-r)^{1/2} \log \frac{1}{1-r}$.

Then (A) and (B) yield the contradiction $K_3 \delta^{-2/3} < K_1 + K_2$.

Proof of Theorem 2. Let

$$t_n = \sum_{k=0}^n (f(k) - c)^2.$$

If $t_n/n \rightarrow 0$ as $n \rightarrow \infty$, then c must be an integer and therefore

$$t_n \geq \sum_{k=0}^n |f(k) - c| \geq \left| \sum_{k=0}^n f(k) - (n+1)c \right| = |r(n) - (n+1)c|.$$

Hence Theorem 2 can only fail to be true, if

$$r(n) = cn + o(n). \quad (6)$$

But $r(a_k) \leq k^2$ (\leq number of all sums $a_i + a_j$ with $i, j \leq k$) and $r(2a_k) \geq \frac{1}{2}k(k-1)$ (\geq number of all sums $a_i + a_j$ with $i, j \leq k$). It follows that (6) can hold only if either

$$c = 0 \quad \text{and} \quad k^2/a_k \rightarrow 0$$

or

$$c > 0 \quad \text{and} \quad Bk^2 < a_k < Ak^2.$$

The first possibility is excluded by the hypothesis of the theorem. It remains to discuss the second case. Then, with the previously used notations, for $\frac{1}{2} < r < 1$,

$$|g(z)| < \sum r Bk^2 < K(1-r)^{-1/2}$$

and
$$\int_{-\pi}^{\pi} |g(z)|^2 d\theta = 2\pi \sum c_r^2 r^{2\alpha_r} > 2\pi \sum c_r r^{2\alpha_r} > K \sum r^2 A k^2 > K(1-r)^{-1/2}.$$

We can take care of the cases I, II and III simultaneously by introducing the symbol ϵ which shall stand for one of the numbers 0, 1, -1.

Then

$$\begin{aligned} \left(\sum (f(k) - c)^2 r^{2k} \right)^{1/2} &= \left(\frac{1}{8\pi} \int_{-\pi}^{\pi} |g^2(z) + \epsilon g(z^2) - K(1-z)^{-1}|^2 d\theta \right)^{1/2} \\ &\geq K \int_{-\pi}^{\pi} |g^2(z) + \epsilon g(z^2) - K(1-z)^{-1}| d\theta \\ &\geq K \int_{-\pi}^{\pi} |g^2(z)| d\theta - K \int_{-\pi}^{\pi} |g(z^2)| d\theta - K \int_{-\pi}^{\pi} |1-z|^{-1} d\theta \\ &\geq K(1-r)^{-1/2} - O\left((1-r)^{-1/4} + \log \frac{1}{1-r}\right) > K(1-r)^{-1/2}, \end{aligned}$$

where Schwarz's inequality was used to estimate the second integral. Therefore

$$\sum t_n r^{2n} = (1-r^2)^{-1} \sum (f(k)-c)^2 r^{2k} > K(1-r)^{-2} = K \sum nr^n.$$

This implies $\overline{\lim} t_n/n > 0$.

References.

1. Dirac and Newman, *Journal London Math. Soc.*, 26 (1951), 312-313.
2. Erdős and Turán, *Journal London Math. Soc.*, 16 (1941), 214.

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ON LINEAR INHOMOGENEOUS DIOPHANTINE APPROXIMATION

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1. The problems discussed in this article are concerned with the evaluation of

$$\liminf_{|x| \rightarrow \infty} |x(\phi x + y + \alpha)| \quad (1.1)$$

and

$$\liminf_{x \rightarrow +\infty} x|\phi x + y + \alpha|, \quad (1.2)$$

where we suppose that ϕ is irrational and that $\phi x + y + \alpha \neq 0$ for any integral x, y .

In §2 I shall show how the algorithm used in [1] and [2] for inhomogeneous binary quadratic forms (product of two inhomogeneous linear forms) may be adapted to yield an analytic formulation of (1.1) in terms of semi-regular continued fractions. The method is applied in §3 to give a simple proof of the following theorem, which includes as special cases theorems of Cassels [3] and Morimoto [5]:

THEOREM 1. *For any k with $0 \leq k \leq \frac{1}{2}$, there exist c values of ϕ , to each of which corresponds c values of α , such that*

$$\liminf_{|x| \rightarrow \infty} |x(\phi x + y + \alpha)| = k \quad (1.3)$$

(where c denotes the cardinal number of the continuum).

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